

Usage Guideline

Corporate Action Event Processing Status Advice Extension supl.010.001.10

DTCC - US - CA - Payment Processing - SR 2025

This document describes a usage guideline restricting the base message **MX supl.010.001.10**. You can also consult [this information online](#).

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Message Functionality

Overview

The DTCCCAPSSD1 message extends ISO corporate action event processing status advice message with DTCC corporate action elements not covered in the standard message.

Collection Description

Outline

The DTCC - US - CA - Payment Processing - SR 2025 / Corporate Action Event Processing Status Advice Extension supl.010.001.10 message is composed of 3 building blocks

a. CorporateActionGeneralInformation

Information to be extended as supplementary data to corporate action general information.







b. UnderlyingSecurity

Information to be extended as supplementary data to underlying security details.

c. CorporateActionDetails

Information to be extended as supplementary data to corporate action details.

1 Structure

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>DTCCCAPSSD1V10</i>	<DTCCCAPSSD1>		[1..1]		
	CorporateActionGeneralInformation	<CorpActnGnllnf>		[0..1]		5
	PlaceAndName	<PlcAndNm>		[0..1]		7
	EventGroup	<EvtGrp>		[0..1]		7
	EventType	<EvtTp>		[0..1]		7
	SubEventType	<SubEvtTp>		[0..1]		8
	SecurityIdentification	<Sctyld>		[1..1]		8
	SafekeepingAccount	<SfkpgAcct>		[1..1]		8
	UnallocatedDetails	<UallctdDtls>		[0..*]		8
	UnderlyingSecurity	<UndrlygScty>		[0..1]		5
	PlaceAndName	<PlcAndNm>		[0..1]		28
	MoneyMarketInstrumentFlag	<MnyMktInstrmFlg>		[0..1]		29
	CorporateActionDetails	<CorpActnDtls>		[0..1]		6
	PlaceAndName	<PlcAndNm>		[0..1]		9
	RecordDate	<RcrdDt>		[0..1]		9
	PaymentDate	<PmtDt>		[0..1]		10
	LotteryDate	<LtryDt>		[0..1]		10
	LotteryType	<LtryTp>		[0..1]		10

2 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Payment Processing - SR 2025 / Corporate Action Event Processing Status Advice Extension suppl.010.001.10 message definition.







2.1 CorporateActionGeneralInformation

XML Tag: CorpActnGnllnf

Presence: [0..1]

Definition: Information to be extended as supplementary data to corporate action general information.

The **CorpActnGnllnf** block contains the following elements (see datatype "CorporateActionGeneralInformationSD59" on page 7 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		7
	EventGroup	<EvtGrp>		[0..1]		7
	EventType	<EvtTp>		[0..1]		7
	SubEventType	<SubEvtTp>		[0..1]		8
	SecurityIdentification	<SctyId>		[1..1]		8
	SafekeepingAccount	<SfkpgAcct>		[1..1]		8
	UnallocatedDetails	<UallctdDtls>		[0..*]		8







2.2 UnderlyingSecurity

XML Tag: UndrlygScty

Presence: [0..1]

Definition: Information to be extended as supplementary data to underlying security details.

The **UndrlygScty** block contains the following elements (see datatype "FinancialInstrumentAttributesSD20" on page 28 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		28
	MoneyMarketInstrumentFlag	<MnyMktInstrmFlg>		[0..1]		29







2.3 CorporateActionDetails

XML Tag: CorpActnDtls

Presence: [0..1]

Definition: Information to be extended as supplementary data to corporate action details.

The **CorpActnDtls** block contains the following elements (see datatype "[CorporateActionSD19](#)" on page 9 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		9
	RecordDate	<RcrdDt>		[0..1]		9
	PaymentDate	<PmtDt>		[0..1]		10
	LotteryDate	<LtryDt>		[0..1]		10
	LotteryType	<LtryTp>		[0..1]		10

3 Message Components

Note The following chapter identifies the message components.

3.1 CorporateActionGeneralInformationSD59

Definition: Provides additional information regarding corporate action general information.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		7
	EventGroup	<EvtGrp>		[0..1]		7
	EventType	<EvtTp>		[0..1]		7
	SubEventType	<SubEvtTp>		[0..1]		8
	SecurityIdentification	<Sctyld>		[1..1]		8
	SafekeepingAccount	<SfkpgAcct>		[1..1]		8
	UnallocatedDetails	<UallctdDtls>		[0..*]		8

Used in element(s)

"CorporateActionGeneralInformation" on page 5

3.1.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 59

3.1.2 EventGroup

XML Tag: EvtGrp

Presence: [0..1]

Definition: DTC processing domain/ category for event types.

Datatype: "EventGroup2Code" on page 54

3.1.3 EventType

XML Tag: EvtTp

Presence: [0..1]

Definition: DTCC (The Depository Trust and Clearing Corporation) native corporate action event type name. Used in place for the events that cannot be classified by ISO code and mapped to OTHR or when two or more distinct events (in DTCC model) use same ISO code and there are no additional data elements that distinguish those two or more events.

Datatype: ["ExtendedEventType6Code" on page 54](#)

3.1.4 SubEventType

XML Tag: SubEvtTp

Presence: [0..1]

Definition: DTCC (The Depository Trust and Clearing Corporation) native corporate action sub event type name further defines the event type.

Datatype: ["DTCCSubEventType12Code" on page 41](#)







3.1.5 SecurityIdentification

XML Tag: Sctyld

Presence: [1..1]

Definition: Identifies the financial instrument.

The **Sctyld** block contains the following elements (see datatype ["SecurityIdentification20" on page 31](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		32
	OtherIdentification	<OthrId>		[0..*]		33
	Description	<Desc>		[0..1]		33

3.1.6 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: ["RestrictedFINXMax35Text" on page 63](#)

3.1.7 UnallocatedDetails

XML Tag: UallctdDtls

Presence: [0..*]

Definition: Additional information about the corporate action event.

The **UallctdDtls** block contains the following elements (see datatype ["CorporateActionUnallocatedDetailsSD7" on page 21](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnallocatedDistributionBalance	<UallctdDstrbtnBal>		[0..1]		22
	UnallocatedRedemptionBalance	<UallctdRedBal>		[0..1]		22
	UnallocatedReorganisationBalance	<UallctdReorgBal>		[0..1]		23
	UnallocatedSecuritiesTransactionDetails	<UallctdScitiesTxDtls>		[0..*]		23
	UnallocatedCashTransactionDetails	<UallctdCshTxDtls>		[0..*]		24

3.2 CorporateActionSD19

Definition: Provides additional information regarding corporate action details.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		9
	RecordDate	<RcrdDt>		[0..1]		9
	PaymentDate	<PmtDt>		[0..1]		10
	LotteryDate	<LtryDt>		[0..1]		10
	LotteryType	<LtryTp>		[0..1]		10

Used in element(s)

"CorporateActionDetails" on page 6

3.2.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 59

3.2.2 RecordDate

XML Tag: RcrdDt

Presence: [0..1]

Definition: Date at which positions are stuck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. DTC (The Depository Trust Corporation) and its Participants may use this as a reference.

Datatype: "ISODate" on page 58

3.2.3 PaymentDate

XML Tag: PmtDt

Presence: [0..1]

Definition: Date/time at which the movement was due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat48Choice" on page 27 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		28
	DateCode	<DtCd>	Or}	[1..1]		28

3.2.4 LotteryDate

XML Tag: LtryDt

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

Datatype: "ISODate" on page 58

3.2.5 LotteryType

XML Tag: LtryTp

Presence: [0..1]

Definition: Specifies the type of lottery announced.

Datatype: "LotteryType1Code" on page 58

3.3 CorporateActionUnallocatedBalanceSD3

Definition: Balance types related to an unallocated redemption payment.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		11
	AffectedBalance	<AfctdBal>		[0..1]		11
	UnaffectedBalance	<UafctdBal>		[0..1]		12
	ObligatedBalance	<OblgtdBal>		[0..1]		12

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalBalance	<OrgnlBal>		[0..1]		12
	PledgedBalance	<PldgdBal>		[0..1]		13
	UnpledgedBalance	<UpIdgdBal>		[0..1]		13
	InvestmentPledgedBalance	<InvstmtPldgdBal>		[0..1]		13
	InvestmentUnpledgedBalance	<InvstmtUpIdgdBal>		[0..1]		14

Used in element(s)

"UnallocatedRedemptionBalance" on page 22







3.3.1 TotalEligibleBalance

XML Tag: TtlElgblBal

Presence: [0..1]

Definition: Total quantity of financial instruments of the balance.

The **TtlElgblBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.3.2 AffectedBalance

XML Tag: AfctdBal

Presence: [0..1]

Definition: The quantity that has been determined to be redeemed if the participant had position in a redemption security.

The **AfctdBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.3.3 UnaffectedBalance

XML Tag: UafctdBal

Presence: [0..1]

Definition: Positions balance remaining after lottery is run.

The **UafctdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.3.4 ObligatedBalance

XML Tag: OblgtdBal

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

The **OblgtdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.3.5 OriginalBalance

XML Tag: OrgnlBal

Presence: [0..1]

Definition: Position held in a security as of the day prior to publication date. This position is subject to a redemption lottery call when this is the first lottery. This balance will not be adjusted for the supplemental or concurrent lotteries and will remain constant to report the original position.

The **OrgnlBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.3.6 PledgedBalance

XML Tag: PldgdBal

Presence: [0..1]

Definition: Portion of the original balance position held in DTC general free account as of day prior to publication date. Position held in this account is subject to redemption lottery call.

The **PldgdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.3.7 UnpledgedBalance

XML Tag: UpldgdBal

Presence: [0..1]

Definition: Portion of the original balance position held in DTC general free account as of day prior to publication date. Position held in this account is subject to redemption lottery call.

The **UpldgdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.3.8 InvestmentPledgedBalance

XML Tag: InvstmtPldgdBal

Presence: [0..1]

Definition: Portion of the original balance position held in DTC investment account as of day prior to publication date. Position held in this account is subject to redemption lottery call and must be released to allow allocation.

The **InvstmtPldgdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.3.9 InvestmentUnpledgedBalance

XML Tag: InvstmtUpdgdBal

Presence: [0..1]

Definition: Portion of the original balance position held in DTC segregated account as of day prior to publication date. Position held in this account is subject to redemption lottery call and must be released to allow allocation.

The **InvstmtUpdgdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.4 CorporateActionUnallocatedBalanceSD3Choice

Definition: Choice amongst various balance types related to unallocated payment.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>	{Or	[1..1]		15
	BorrowedBalance	<BrrwdBal>	Or	[1..1]		15
	CollateralInBalance	<CollInBal>	Or	[1..1]		15
	CollateralOutBalance	<CollOutBal>	Or	[1..1]		16
	OnLoanBalance	<OnLnBal>	Or	[1..1]		16
	PendingDeliveryBalance	<PdgDlrvyBal>	Or	[1..1]		16
	PendingReceiptBalance	<PdgRctBal>	Or}	[1..1]		17

Used in element(s)

"UnallocatedDistributionBalance" on page 22







3.4.1 TotalEligibleBalance

XML Tag: TtlElgblBal

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

The **TtlElgblBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.4.2 BorrowedBalance

XML Tag: BrrwdBal

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

The **BrrwdBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.4.3 CollateralInBalance

XML Tag: CollInBal

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

The **CollInBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.4.4 CollateralOutBalance

XML Tag: CollOutBal

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

The **CollOutBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.4.5 OnLoanBalance

XML Tag: OnLnBal

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

The **OnLnBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.4.6 PendingDeliveryBalance

XML Tag: PdgDlvryBal

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

The **PdgDlvryBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.4.7 PendingReceiptBalance

XML Tag: PdgRctBal

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.







The **PdgRctBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.5 CorporateActionUnallocatedBalanceSD4

Definition: Balance types related to an unallocated redemption payment.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		17
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		18
	PledgedBalance	<PldgdBal>		[0..1]		18
	InvestmentPledgedBalance	<InvstmtPldgdBal>		[0..1]		18
	InvestmentUnpledgedBalance	<InvstmtUpldgdBal>		[0..1]		19
	MemoSegregationBalance	<MemoSgrtnBal>		[0..1]		19

Used in element(s)

"UnallocatedReorganisationBalance" on page 23

3.5.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 59

3.5.2 TotalEligibleBalance

XML Tag: TtlElgblBal

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

The **TtlElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.5.3 PledgedBalance

XML Tag: PldgdBal

Presence: [0..1]

Definition: Position held in DTC Segregated account. This position is ineligible for payment until released from pledgee.

The **PldgdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.5.4 InvestmentPledgedBalance

XML Tag: InvstmtPldgdBal

Presence: [0..1]

Definition: Position held in DTC Segregated account also called account 18. This position is not eligible for instruction processing but will be eligible for payment on mandatory events.

The **InvstmtPldgdBal** block contains the following elements (see datatype "[SignedQuantityFormat9](#)" on page 33 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.5.5 InvestmentUnpledgedBalance

XML Tag: InvstmtUpIdgdBal

Presence: [0..1]

Definition: Position held in DTC Segregated account also called account 22. This position is not eligible for instruction processing but will be eligible for payment on mandatory events.

The **InvstmtUpIdgdBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34







3.5.6 MemoSegregationBalance

XML Tag: MemoSgrtnBal

Presence: [0..1]

Definition: Position held in DTC Segregated account. This position is eligible for payment.







The **MemoSgrtnBal** block contains the following elements (see datatype "SignedQuantityFormat9" on page 33 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		34
	Quantity	<Qty>		[1..1]		34

3.6 CorporateActionUnallocatedCashTransactionDetailsSD7

Definition: Breakdown of unallocated cash transactions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		20
	OptionNumber	<OptnNb>		[0..1]		20
	OptionType	<OptnTp>		[0..1]		20
	ReasonCode	<RsnCd>		[0..1]		20
	TransactionAmount	<TxAmt>		[0..1]		21
	UnallocatedReasonCode	<UallctdRsnCd>		[0..1]		21
	ContraParticipantNumber	<ContraPtcptNb>		[0..1]		21
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		21

Used in element(s)

"UnallocatedCashTransactionDetails" on page 24

3.6.1 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 39

3.6.2 OptionNumber

XML Tag: OptnNb

Presence: [0..1]

Definition: Number identifying the available corporate action option.

Datatype: "Exact3NumericText" on page 54

3.6.3 OptionType

XML Tag: OptnTp

Presence: [0..1]

Definition: Corporate action options available to the account owner.

Datatype: "CorporateActionOption11Code" on page 36

3.6.4 ReasonCode

XML Tag: RsnCd

Presence: [0..1]

Definition: Transaction reason.

Datatype: "DTCAdjustmentPaymentType5Code" on page 39

3.6.5 TransactionAmount

XML Tag: TxAmt

Presence: [0..1]

Definition: Resulting cash amount concerned in this transaction.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 60

3.6.6 UnallocatedReasonCode

XML Tag: UallctdRsnCd

Presence: [0..1]

Definition: Reason for the unallocation.

Datatype: ["DTCUnallocatedAdjustmentReason4Code"](#) on page 49

3.6.7 ContraParticipantNumber

XML Tag: ContraPtcptNb

Presence: [0..1]

Definition: Transaction contra participant identification when shares are distributed/delivered to/from another participant.

Datatype: ["Max8Text"](#) on page 60

3.6.8 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time at which the movement was due to take place (cash and/or securities).

The **EarlstPmtDt** block contains the following elements (see datatype ["DateFormat48Choice"](#) on page 27 for details)

❌ Removed -
 ⊗ Partial Removed -
 ◇ Ignored -
 ⊗ Partial Ignored -
 ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		28
	DateCode	<DtCd>	Or}	[1..1]		28

3.7 CorporateActionUnallocatedDetailsSD7

Definition: Provides additional information regarding the corporate action event.

❌ Removed -
 ⊗ Partial Removed -
 ◇ Ignored -
 ⊗ Partial Ignored -
 ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnallocatedDistributionBalance	<UallctdDstrbtnBal>		[0..1]		22
	UnallocatedRedemptionBalance	<UallctdRedBal>		[0..1]		22
	UnallocatedReorganisationBalance	<UallctdReorgBal>		[0..1]		23
	UnallocatedSecuritiesTransactionDetails	<UallctdScitiesTxDtls>		[0..*]		23
	UnallocatedCashTransactionDetails	<UallctdCshTxDtls>		[0..*]		24

Used in element(s)

"UnallocatedDetails" on page 8







3.7.1 UnallocatedDistributionBalance

XML Tag: UallctdDstrbtnBal

Presence: [0..1]

Definition: Type of Participants positions distribution balance concerned in unallocated payment.

The **UallctdDstrbtnBal** block contains the following elements (see datatype "[CorporateActionUnallocatedBalanceSD3Choice](#)" on page 14 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>	{Or	[1..1]		15
	BorrowedBalance	<BrrwdBal>	Or	[1..1]		15
	CollateralInBalance	<CollInBal>	Or	[1..1]		15
	CollateralOutBalance	<CollOutBal>	Or	[1..1]		16
	OnLoanBalance	<OnLnBal>	Or	[1..1]		16
	PendingDeliveryBalance	<PdgDlvryBal>	Or	[1..1]		16
	PendingReceiptBalance	<PdgRctBal>	Or}	[1..1]		17







3.7.2 UnallocatedRedemptionBalance

XML Tag: UallctdRedBal

Presence: [0..1]

Definition: Type of Participants positions redemption balance concerned in unallocated payment.

The **UallctdRedBal** block contains the following elements (see datatype "[CorporateActionUnallocatedBalanceSD3](#)" on page 10 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		11
	AffectedBalance	<AfctdBal>		[0..1]		11
	UnaffectedBalance	<UafctdBal>		[0..1]		12
	ObligatedBalance	<OblgtdBal>		[0..1]		12
	OriginalBalance	<OrgnlBal>		[0..1]		12
	PledgedBalance	<PldgdBal>		[0..1]		13
	UnpledgedBalance	<UpldgdBal>		[0..1]		13
	InvestmentPledgedBalance	<InvstmtPldgdBal>		[0..1]		13
	InvestmentUnpledgedBalance	<InvstmtUpldgdBal>		[0..1]		14







3.7.3 UnallocatedReorganisationBalance

XML Tag: UallctdReorgBal

Presence: [0..1]

Definition: Type of participants positions reorganisation balance concerned in unallocated payment.

The **UallctdReorgBal** block contains the following elements (see datatype "CorporateActionUnallocatedBalanceSD4" on page 17 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		17
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		18
	PledgedBalance	<PldgdBal>		[0..1]		18
	InvestmentPledgedBalance	<InvstmtPldgdBal>		[0..1]		18
	InvestmentUnpledgedBalance	<InvstmtUpldgdBal>		[0..1]		19
	MemoSegregationBalance	<MemoSgrtnBal>		[0..1]		19







3.7.4 UnallocatedSecuritiesTransactionDetails

XML Tag: UallctdSctiesTxDtls

Presence: [0..*]

Definition: Details of the securities transactions concerned in unallocated payment.

The **UallctdSctiesTxDtls** block contains the following elements (see datatype "CorporateActionUnallocatedSecuritiesTransactionDetailsSD8" on page 24 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		25
	OptionNumber	<OptnNb>		[0..1]		25
	OptionType	<OptnTp>		[0..1]		25
	ReasonCode	<RsnCd>		[0..1]		25
	TransactionQuantity	<TxQty>		[0..1]		26
	UnallocatedReasonCode	<UallctdRsnCd>		[0..1]		26
	ContraParticipantNumber	<ContraPtcptNb>		[0..1]		26
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		26







3.7.5 UnallocatedCashTransactionDetails

XML Tag: UallctdCshTxDtIs

Presence: [0..*]

Definition: Details of the cash transactions concerned in unallocated payment.







The **UallctdCshTxDtIs** block contains the following elements (see datatype "CorporateActionUnallocatedCashTransactionDetailsSD7" on page 19 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		20
	OptionNumber	<OptnNb>		[0..1]		20
	OptionType	<OptnTp>		[0..1]		20
	ReasonCode	<RsnCd>		[0..1]		20
	TransactionAmount	<TxAmt>		[0..1]		21
	UnallocatedReasonCode	<UallctdRsnCd>		[0..1]		21
	ContraParticipantNumber	<ContraPtcptNb>		[0..1]		21
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		21

3.8 CorporateActionUnallocatedSecuritiesTransactionDetailsSD8

Definition: Breakdown of unallocated securities transactions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		25
	OptionNumber	<OptnNb>		[0..1]		25
	OptionType	<OptnTp>		[0..1]		25
	ReasonCode	<RsnCd>		[0..1]		25
	TransactionQuantity	<TxQty>		[0..1]		26
	UnallocatedReasonCode	<UallctdRsnCd>		[0..1]		26
	ContraParticipantNumber	<ContraPtcptNb>		[0..1]		26
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		26

Used in element(s)

"UnallocatedSecuritiesTransactionDetails" on page 23

3.8.1 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 39

3.8.2 OptionNumber

XML Tag: OptnNb

Presence: [0..1]

Definition: Number identifying the available corporate action option.

Datatype: "Exact3NumericText" on page 54

3.8.3 OptionType

XML Tag: OptnTp

Presence: [0..1]

Definition: Specifies the corporate action option available to the account owner.

Datatype: "CorporateActionOption11Code" on page 36

3.8.4 ReasonCode

XML Tag: RsnCd

Presence: [0..1]

Definition: Transaction reason.

Datatype: "DTCAdjustmentPaymentType5Code" on page 39







3.8.5 TransactionQuantity

XML Tag: TxQty

Presence: [0..1]

Definition: Resulting quantity of securities concerned in this transaction.

The **TxQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity15Choice](#)" on page 29 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		29
	FaceAmount	<FaceAmt>	Or	[1..1]		29
	AmortisedValue	<AmtsdVal>	Or}	[1..1]		29

3.8.6 UnallocatedReasonCode

XML Tag: UallctdRsnCd

Presence: [0..1]

Definition: Reason for the unallocation.

Datatype: "[DTCUnallocatedAdjustmentReason4Code](#)" on page 49

3.8.7 ContraParticipantNumber

XML Tag: ContraPtcptNb

Presence: [0..1]

Definition: Transaction contra participant identification when shares are distributed/delivered to/from another participant.

Datatype: "[Max8Text](#)" on page 60







3.8.8 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time at which the movement was due to take place (cash and/or securities).

The **EarlstPmtDt** block contains the following elements (see datatype "[DateFormat48Choice](#)" on page 27 for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		28

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		28

3.9 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		27
	DateTime	<DtTm>	Or}	[1..1]		27

Used in element(s)

["Date" on page 28](#)

3.9.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: ["ISODate" on page 58](#)

3.9.2 DateTime

XML Tag: DtTm







Presence: [1..1]

Definition: Specified date and time.

Datatype: ["ISODateTime" on page 58](#)

3.10 DateFormat48Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		28
	DateCode	<DtCd>	Or}	[1..1]		28

Used in element(s)

["EarliestPaymentDate" on page 21](#), ["EarliestPaymentDate" on page 26](#), ["PaymentDate" on page 10](#)







3.10.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype ["DateAndDateTime2Choice" on page 27](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		27
	DateTime	<DtTm>	Or}	[1..1]		27

3.10.2 DateCode

XML Tag: DtCd







Presence: [1..1]

Definition: Specifies the type of date.

Datatype: ["DateType8Code" on page 52](#)

3.11 FinancialInstrumentAttributesSD20

Definition: Provides additional information regarding underlying security details.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		28
	MoneyMarketInstrumentFlag	<MnyMktInstrmFlg>		[0..1]		29

Used in element(s)

["UnderlyingSecurity" on page 5](#)

3.11.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: ["Max350Text" on page 59](#)

3.11.2 MoneyMarketInstrumentFlag

XML Tag: MnyMktInstrmFlg







Presence: [0..1]

Definition: Indicates that the underlying security is a money market instrument.

Datatype: ["YesNoIndicator" on page 64](#)

3.12 FinancialInstrumentQuantity15Choice

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		29
	FaceAmount	<FaceAmt>	Or	[1..1]		29
	AmortisedValue	<AmtsdVal>	Or}	[1..1]		29

Used in element(s)

["Quantity" on page 34](#), ["TransactionQuantity" on page 26](#)

3.12.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["RestrictedFINDecimalNumber" on page 61](#)

3.12.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 62](#)

3.12.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 62

3.13 IdentificationSource4Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		30
	Proprietary	<Prtry>	Or}	[1..1]		30

Used in element(s)

"Type" on page 31

3.13.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 56

3.13.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "RestrictedFINExact2Text" on page 61

3.14 OtherIdentification2

Definition: Other accepted financial instrument's identification than ISIN.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		31
	Suffix	<Sfx>		[0..1]		31
	Type	<Tp>		[1..1]		31

Used in element(s)

"OtherIdentification" on page 33

3.14.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "RestrictedFINXMax31Text" on page 62

3.14.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 59







3.14.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.







The **Tp** block contains the following elements (see datatype "IdentificationSource4Choice" on page 30 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		30
	Proprietary	<Prtry>	Or}	[1..1]		30

3.15 SecurityIdentification20

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		32
	OtherIdentification	<OthrId>		[0..*]		33
	Description	<Desc>		[0..1]		33

Rules

R3 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R4 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R5 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R6 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R7 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"SecurityIdentification" on page 8

3.15.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: ["ISINOct2015Identifier" on page 57](#)







3.15.2 OtherIdentification

XML Tag: `OthrId`

Presence: `[0..*]`

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype ["OtherIdentification2" on page 30](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<code><Id></code>		[1..1]		31
	Suffix	<code><Sfx></code>		[0..1]		31
	Type	<code><Tp></code>		[1..1]		31

3.15.3 Description

XML Tag: `Desc`







Presence: `[0..1]`

Definition: Textual description of a security instrument.

Datatype: ["RestrictedFINXMax140Text" on page 62](#)

3.16 SignedQuantityFormat9

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<code><ShrtLngPos></code>		[1..1]		34
	Quantity	<code><Qty></code>		[1..1]		34

Used in element(s)

["AffectedBalance" on page 11](#), ["BorrowedBalance" on page 15](#), ["CollateralInBalance" on page 15](#), ["CollateralOutBalance" on page 16](#), ["InvestmentPledgedBalance" on page 13](#), ["InvestmentPledgedBalance" on page 18](#), ["InvestmentUnpledgedBalance" on page 14](#), ["InvestmentUnpledgedBalance" on page 19](#), ["MemoSegregationBalance" on page 19](#), ["ObligatedBalance" on page 12](#), ["OnLoanBalance" on page 16](#), ["OriginalBalance" on page 12](#), ["PendingDeliveryBalance" on page 16](#), ["PendingReceiptBalance" on page 17](#), ["PledgedBalance" on page 13](#), ["PledgedBalance" on page 18](#), ["TotalEligibleBalance" on page 11](#), ["TotalEligibleBalance" on page 15](#), ["TotalEligibleBalance" on page 18](#), ["UnaffectedBalance" on page 12](#), ["UnpledgedBalance" on page 13](#)

3.16.1 ShortLongPosition

XML Tag: ShrtLngPos
Presence: [1..1]
Definition: Sign of the quantity of security.
Datatype: "ShortLong1Code" on page 63

3.16.2 Quantity

XML Tag: Qty
Presence: [1..1]
Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity15Choice" on page 29 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		29
	FaceAmount	<FaceAmt>	Or	[1..1]		29
	AmortisedValue	<AmtsdVal>	Or}	[1..1]		29

4 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

4.1 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules

R2 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00005
- *Error Text:* Invalid currency code

Used in attribute(s)

"RestrictedFINActiveCurrencyAndAmount" on page 60

Restricts

"ParentCurrencyCode" on page 60

4.2 AmountDirectionCode

Definition: Specifies if an operation is an increase or a decrease or the result of a reversal operation.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

Is restricted by

"CreditDebitCode" on page 39

4.3 CorporateActionOption11Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.

Code	Name	Definition
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

Used in element(s)

"OptionType" on page 20, "OptionType" on page 25

Restricts

"CorporateActionOptionCode" on page 37

4.4 CorporateActionOptionCode

Definition: Specifies the type of corporate action options offered to the account holder for a corporate action.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.

Code	Name	Definition
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.

Code	Name	Definition
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

Is restricted by

"CorporateActionOption11Code" on page 36

4.5 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

Used in element(s)

"CreditDebitIndicator" on page 20, "CreditDebitIndicator" on page 25

Restricts

"AmountDirectionCode" on page 35

4.6 DTCAdjustmentPaymentType5Code

Definition: Specifies the type of the payment adjustment.

Type: CodeSet

Code	Name	Definition
FAIL	FailSettlement	FAIL (undelivered securities) transaction.
MISC	MiscellaneousSettlement	Miscellaneous (adjustment) transaction.
REPO	RepoSettlement	REPO (repurchase agreement) transaction.
RRCD	CustodyReorganisationDeposit	Custody reorganisation deposit related adjustment.
RRD1	ReorganisationDepositPhaseOne	Participant deposited a security within 10 days after a corporate action event has occurred.
RRD2	ReorganisationDepositPhaseTwo	Participant deposited a security 10 days after a corporate action event has occurred.

Code	Name	Definition
RRDR	DynamicRateChange	Dynamic rate change related adjustment.
STOK	StockLoanSettlement	Stock loan transaction.

Used in element(s)

"ReasonCode" on page 20, "ReasonCode" on page 25

Restricts

"DTCAdjustmentPaymentTypeV3Code" on page 40

4.7 DTCAdjustmentPaymentTypeV3Code

Definition: Specifies the type of the payment adjustment.

Type: CodeSet

Code	Name	Definition
FAIL	FailSettlement	FAIL (undelivered securities) transaction.
MISC	MiscellaneousSettlement	Miscellaneous (adjustment) transaction.
OTHR	Other	Reserved for new user defined reason codes.
RADC	ADRIssueFeeCharge	American Depository Receipt issue fee charge adjustment.
RADR	ADRIssueFeeReversal	ADR issue fee reversal adjustment.
RCDP	CustodyDividendPayment	Dividend payment on a DTC custody held security related adjustment.
RCIL	CashInLieu	Cash in lieu related adjustment.
REPO	RepoSettlement	REPO (repurchase agreement) transaction.
REVA	Reversal	Reversal related adjustment.
RRCD	CustodyReorganisationDeposit	Custody reorganisation deposit related adjustment.
RRCL	ClaimSettlement	Claim settlement related adjustment.
RRD1	ReorganisationDepositPhaseOne	Participant deposited a security within 10 days after a corporate action event has occurred.
RRD2	ReorganisationDepositPhaseTwo	Participant deposited a security 10 days after a corporate action event has occurred.
RRDR	DynamicRateChange	Dynamic rate change related adjustment.
RRLR	MandatoryLegalReorganisationDeposit	Mandatory legal reorganisation deposit related adjustment.
RRMD	MandatoryReorganisationDeposit	Mandatory reorganisation deposit related adjustment.

Code	Name	Definition
RRML	LegalReorganisationDeposits	Legal reorganisation deposit related adjustment.
RRR3	MandatoryOverThreeYearReorganisation-Deposit	Mandatory over three year reorganisation deposit related adjustment.
RRRA	AdjustedForRightsSubscription	Adjusted for rights subscription related adjustment.
RRRE	RightsSubscription	Rights subscription related adjustment.
RRRI	RightsProtect	Rights protect related adjustment.
RRRL	LastDayProtect	Last day protect related adjustment.
RRRS	RightsSales	Rights sales related adjustment.
RRRU	RightsStepUp	Rights step up related adjustment.
RRT2	TenderOfferFraction	Tender offer fraction related adjustment.
RRWC	WarrantRateChange	Warrant rate change related adjustment.
RRWT	WarrantParticipantDelete	Warrant Participant delete related adjustment.
STOK	StockLoanSettlement	Stock loan transaction.
TJXD	ReclassificationTaxWithholding	Reclassification tax withholding related adjustment.
TJXF	FailTrackingTaxWithholding	Fail tracking tax withholding related adjustment.
TJXI	TaxInstructionChange	Tax instruction change related adjustment.
TJXL	OriginalLateTaxWithholding	Original late tax withholding related adjustment.
TJXO	OriginalInitialTaxWithholding	Original (initial) tax withholding related adjustment.
TJXR	ReversalOfTaxWithholding	Reversal of tax withholding related adjustment.
TJXT	RateChangeTaxWithholding	Rate change tax withholding related adjustment.
TJXU	ManualUserTax	Manual user tax adjustment.
TJXV	IntraDayReversalOfOriginalTaxWithholding	Intra-day reversal of original tax withholding related adjustment.

Is restricted by

"DTCAdjustmentPaymentType5Code" on page 39

4.8 DTCCSubEventType12Code

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined sub event types.

Type: CodeSet

Code	Name	Definition
A144	USLegal144A	Identifies an exchange offer on securities that are subject to Securities Rule 144A.
ADRS	ADR	Identifies when the event security is an ADR. The underlying security is American Depositary Receipt.
BLOT	BoardLot	Identifies a change in Board Lot size.
BTST	BidTenderSealedTender	Identifies a tender offer event in which the holder can choose the price at which they are willing to tender their securities. This price may or may not be accepted by the offeror.
C305	DeemedDividend305C	Identifies an event which has been considered a deemed distribution under Section 305(c) of the United States Internal Revenue Code.
CASE	CashAndSecurities	Identifies an event where the payout will be both cash and securities.
CASH	Cash	Identifies an event where the payout will be cash.
CILI	CashinLieu	Identifies DTC (the Depository Trust Company) specific sub-event where holders can elect to "sell" whole shares to satisfy fractional entitlements (usually as a result of a merger) at the beneficial owner level.
CONV	Conversion	Identifies an event which is a full call or a maturity with conversion.
COTE	ConvertAndTender	Identifies a tender offer with a convert feature.
CTAX	TaxCredit	Identifies an event where a tax credit relating to the Build America Bonds will occur.
DRPD	DividendReinvestmentByDTC	Identifies an event where the Issue is eligible for a Dividend Reinvestment program at DTCC (The Depository Trust and Clearing Corporation).
DRPI	DividendReinvestmentByIssuer	Identifies an event where the issuer offers a dividend reinvestment program that is not processed by DTC (the Depository Trust Company).
ECNI	AmountsInExcessOfCumulativeNetIncome	Identifies when a publicly traded partnership identifies the amount realised on such portion of the distribution as an amount in excess of cumulative net income under IRS regulation 1.1446(f)-4(c)(2)(iii).
FTPR	OfferToPurchase	Identifies a tender offer event made by another company to purchase a portion or all of the outstanding shares.
GDRS	GDR	Identifies when the event security is a GDR. The underlying security is a global depositary receipt.

Code	Name	Definition
M871	DividendEquivalentPayment871M	Identifies an event which has been considered a dividend equivalent payment under Section 871(m) of the United States Internal Revenue Code.
MBCK	MortgageBacked	Indicates an event where the issue has an early redemption feature that allows the holder to elect to sell bonds back to the issuer on a monthly basis, according to specified conditions.
MITE	MiniTender	Identifies when a tender offer is a mini tender.
MROS	MandatoryRedemptionOfShares	Identifies an event where redemption shares are issued in addition to shares of the original security. This is related to the Mandatory Exchange event with the same sub-event type name.
NOTI	ImportantNotice	Identifies a MMI Important Notice.
OPOF	OpenOffer	Identifies an event in certain markets where underlying rights are not issued.
OPTO	OptOut	Identifies an event (for example Cash Dividend) where DTC (the Depository Trust Company) offers a DRIP option as a default option (holder must opt out of the DRIP Option).
PLCL	PlaceOfListing	Identifies a change in the place where the security is listed.
POPI	PoisonPill	Identifies sale of rights event where issuers redeem poison pill rights.
PREQ	PresentationRequired	Identifies an event where the presentation of securities will be required.
PRNI	PhysicalRightsNotIssued	Identifies an event where the company is not issuing a security with the right to subscribe for additional shares. In these instances, a User CUSIP is created by DTC (The Depository Trust Company) as opposed to a company-issued CUSIP in order to identify these issues.
QN92	ExemptionQualifiedNotice92Days	Identifies a qualified notice issued by a publicly traded partnership that states applicability of the 10 percent exception under IRS regulation 1.1446(f)-4(b)(3).
RCLA	Classification1042S	Identifies distributions that have multiple components for tax withholding and 1042-S reporting purposes.
RDTH	BasedOnRecordDateHoldings	Identifies an event which will be based on record date holdings.
REGS	RegulationS	Identifies an exchange offer on securities that are subject to securities rule Reg S.
RMRK	Remarketing	Identifies an event that involves a Stock Purchase Contract product that is offering an early settlement or remarketing feature prior to the contract's expiration.

Code	Name	Definition
SALE	SaleOfAssets	Identifies an event where the distribution is from the proceeds of the sale of assets.
SECU	Securities	Identifies an event where the payout will be securities.
SETE	SelfTender	Identifies when a tender offer is a self tender.
SHEX	ShareExchange	Identifies an event where two companies exchange their shares during a merger. After the share exchange, one company becomes a subsidiary of the other and is no longer listed. Usually applicable to an event in JP markets.
SHPP	SharePurchasePlan	Identifies an event involving the offer of shares by a corporation listed on the Australia Stock Exchange, in which ASIC will give relief so that the company can offer existing shareholders the opportunity to acquire small numbers of additional shares without needing a disclosure document or Product Disclosure Statement (PDS).
SOPT	SurvivorOptions	Indicates an event where the Issue has an early redemption feature. This feature allows the holder to elect to sell bonds back to the issuer on a predetermined basis (excluding monthly) according to specific priorities.
SPAC	SpecialPurposeAcquisitionCompany	Specified purpose acquisition companies (SPACs) are public companies comprised of cash available to investors in financial markets. The sole purpose of SPACs is to use the proceeds to finance a future acquisition. SPACs generally commit to identify a target operating company and complete a business combination transaction within a specified timeframe. SPACs have a feature where funds are put into a trust account and when the target investment is identified the investor can have their proceeds returned or participate in the business combination.
STDT	Standard	Identifies an event where one company merges with the other and assumes the name of the surviving company. It is the standard market-accepted merger. Usually applicable to an event in JP markets.
TWRI	TenderWithRights	Identifies a tender offer event on a Rights security. Holders must submit both the ordinary share(s) and right(s) to be eligible to receive the tender consideration. The ratio of ordinary shares to rights is defined in the offer.
UNIT	UnitCombination	Identifies a combination of assets or types of assets packaged together and sold as one. For example, a sharehold-

Code	Name	Definition
		er buying one unit of company stock may get preferred shares, ordinary shares and even warrants in the unit.
UNWD	Unwind	Identifies an event where "unwinding" of the basket of securities occurs.
VOTE	Vote	Event where creditors whose claims are impaired are entitled to vote.
WITH	WithPayout	Identifies a consent event with payout.
WITO	WithoutPayout	Identifies a consent event without payout.
XFER	Transfer	Identifies an event where one company transfers all of its shares to a newly created company. Usually applicable to an event in JP markets.

Used in element(s)

"SubEventType" on page 8

Restricts

"DTCCSubEventTypeCode" on page 45

4.9 DTCCSubEventTypeCode

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined sub event types.

Type: CodeSet

Code	Name	Definition
A144	USLegal144A	Identifies an exchange offer on securities that are subject to Securities Rule 144A.
ADRS	ADR	Identifies when the event security is an ADR. The underlying security is American Depositary Receipt.
AMET	AnnualGeneral	Identifies a meeting event called by the company on behalf of security holders at which the company can present corporate resolutions that may require a vote by the holders.
BLOT	BoardLot	Identifies a change in Board Lot size.
BTST	BidTenderSealedTender	Identifies a tender offer event in which the holder can choose the price at which they are willing to tender their securities. This price may or may not be accepted by the offeror.
C305	DeemedDividend305C	Identifies an event which has been considered a deemed distribution under Section 305(c) of the United States Internal Revenue Code.
CASE	CashAndSecurities	Identifies an event where the payout will be both cash and securities.

Code	Name	Definition
CASH	Cash	Identifies an event where the payout will be cash.
CILI	CashinLieu	Identifies DTC (the Depository Trust Company) specific sub-event where holders can elect to "sell" whole shares to satisfy fractional entitlements (usually as a result of a merger) at the beneficial owner level.
CONV	Conversion	Identifies an event which is a full call or a maturity with conversion.
COTE	ConvertAndTender	Identifies a tender offer with a convert feature.
CTAX	TaxCredit	Identifies an event where a tax credit relating to the Build America Bonds will occur.
CUPR	NameAndCUSIPChangeAndPresentationRequired	Name change with both CUSIP change and presentation required.
CUSP	NameAndCUSIPChange	Name change with CUSIP change.
DRPD	DividendReinvestmentByDTC	Identifies an event where the Issue is eligible for a Dividend Reinvestment program at DTCC (The Depository Trust and Clearing Corporation).
DRPI	DividendReinvestmentByIssuer	Identifies an event where the issuer offers a dividend reinvestment program that is not processed by DTC (the Depository Trust Company).
ECNI	AmountsInExcessOfCumulativeNetIncome	Identifies when a publicly traded partnership identifies the amount realised on such portion of the distribution as an amount in excess of cumulative net income under IRS regulation 1.1446(f)-4(c)(2)(iii).
EXOM	Extraordinary	Identifies a meeting event called by the company when urgent matters need to be addressed before the next General Meeting.
FINL	FinalPayment	Identifies an event that include notification of a final payment in lieu of the original commitment.
FTPR	OfferToPurchase	Identifies a tender offer event made by another company to purchase a portion or all of the outstanding shares.
GDRS	GDR	Identifies when the event security is a GDR. The underlying security is a global depositary receipt.
INTE	InterimPayment	Identifies an event that include notification of an interim payment in lieu of the original commitment.
M871	DividendEquivalentPayment871M	Identifies an event which has been considered a dividend equivalent payment under Section 871(m) of the United States Internal Revenue Code.

Code	Name	Definition
MBCK	MortgageBacked	Indicates an event where the issue has an early redemption feature that allows the holder to elect to sell bonds back to the issuer on a monthly basis, according to specified conditions.
MITE	MiniTender	Identifies when a tender offer is a mini tender.
MROS	MandatoryRedemptionOfShares	Identifies an event where redemption shares are issued in addition to shares of the original security. This is related to the Mandatory Exchange event with the same sub-event type name.
NCPR	NameChangeAndPresentationRequired	Name change with presentation required without new CUSIP.
NOTI	ImportantNotice	Identifies a MMI Important Notice.
OPOF	OpenOffer	Identifies an event in certain markets where underlying rights are not issued.
OPTO	OptOut	Identifies an event (for example Cash Dividend) where DTC (the Depository Trust Company) offers a DRIP option as a default option (holder must opt out of the DRIP Option).
ORDM	Ordinary	Identifies a meeting event called by the company. Usually held annually.
PLCL	PlaceOfListing	Identifies a change in the place where the security is listed.
POPI	PoisonPill	Identifies sale of rights event where issuers redeem poison pill rights.
PREQ	PresentationRequired	Identifies an event where the presentation of securities will be required.
PRNI	PhysicalRightsNotIssued	Identifies an event where the company is not issuing a security with the right to subscribe for additional shares. In these instances, a User CUSIP is created by DTC (The Depository Trust Company) as opposed to a company-issued CUSIP in order to identify these issues.
QN92	ExemptionQualifiedNotice92Days	Identifies a qualified notice issued by a publicly traded partnership that states applicability of the 10 percent exception under IRS regulation 1.1446(f)-4(b)(3).
RCLA	Classification1042S	Identifies distributions that have multiple components for tax withholding and 1042-S reporting purposes.
RDTH	BasedOnRecordDateHoldings	Identifies an event which will be based on record date holdings.
REGS	RegulationS	Identifies an exchange offer on securities that are subject to securities rule Reg S.
RMRK	Remarketing	Identifies an event that involves a Stock Purchase Contract product that is offer-

Code	Name	Definition
		ing an early settlement or remarketing feature prior to the contract's expiration.
SALE	SaleOfAssets	Identifies an event where the distribution is from the proceeds of the sale of assets.
SECU	Securities	Identifies an event where the payout will be securities.
SETE	SelfTender	Identifies when a tender offer is a self tender.
SHEX	ShareExchange	Identifies an event where two companies exchange their shares during a merger. After the share exchange, one company becomes a subsidiary of the other and is no longer listed. Usually applicable to an event in JP markets.
SHPP	SharePurchasePlan	Identifies an event involving the offer of shares by a corporation listed on the Australia Stock Exchange, in which ASIC will give relief so that the company can offer existing shareholders the opportunity to acquire small numbers of additional shares without needing a disclosure document or Product Disclosure Statement (PDS).
SOPT	SurvivorOptions	Indicates an event where the Issue has an early redemption feature. This feature allows the holder to elect to sell bonds back to the issuer on a predetermined basis (excluding monthly) according to specific priorities.
SPAC	SpecialPurposeAcquisitionCompany	Specified purpose acquisition companies (SPACs) are public companies comprised of cash available to investors in financial markets. The sole purpose of SPACs is to use the proceeds to finance a future acquisition. SPACs generally commit to identify a target operating company and complete a business combination transaction within a specified timeframe. SPACs have a feature where funds are put into a trust account and when the target investment is identified the investor can have their proceeds returned or participate in the business combination.
SPCL	Special	Identifies a meeting event called by the company that cannot be categorized as Ordinary, Annual General, or Extraordinary.
STDT	Standard	Identifies an event where one company merges with the other and assumes the name of the surviving company. It is the standard market-accepted merger. Usually applicable to an event in JP markets.
TWRI	TenderWithRights	Identifies a tender offer event on a Rights security. Holders must submit both the ordinary share(s) and right(s) to

Code	Name	Definition
		be eligible to receive the tender consideration. The ratio of ordinary shares to rights is defined in the offer.
UNIT	UnitCombination	Identifies a combination of assets or types of assets packaged together and sold as one. For example, a shareholder buying one unit of company stock may get preferred shares, ordinary shares and even warrants in the unit.
UNWD	Unwind	Identifies an event where "unwinding" of the basket of securities occurs.
VOTE	Vote	Event where creditors whose claims are impaired are entitled to vote.
WITH	WithPayout	Identifies a consent event with payout.
WITO	WithoutPayout	Identifies a consent event without payout.
XFER	Transfer	Identifies an event where one company transfers all of its shares to a newly created company. Usually applicable to an event in JP markets.

Is restricted by

"DTCCSubEventType12Code" on page 41

4.10 DTCUnallocatedAdjustmentReason4Code

Definition: DTC Unallocated reason codes for payment adjustments.

Type: CodeSet

Code	Name	Definition
ANFI	FundsNotReceivedByAgent	Funds were not received by the agent.
ANNG	BadAnnouncement	Incorrect announcement.
APRR	ApproximateRate	Rate is not final. Rate is approximate.
BDPD	BadPayableDate	Incorrect payable date.
CDIN	CanadianDepositoryInventory	Reason is due to a Canadian depository inventory.
CHBD	ChurchBondNotFunded	Reason is due to a church bond not funded.
CHIP	CHIPSPayment	Reason is due to a CHIPS Payment.
COND	ConditionalPayment	Payment is conditional and conditions have not been met yet.
CSHR	CashRateUnknown	Cash rate for allocation is unknown.
CSHU	CashRateZero	Cash rate for allocation is zero.
DFLT	Default	Issue is in default.

Code	Name	Definition
ERLY	CDAcceleratedMaturity	Reason is due to a CD accelerated maturity.
FDIC	FederalDepositInsuranceCorporation	Reason is due to a failed bank; Federal Deposit Insurance Corporation assumed.
FVDG	FiveDayGracePeriod	Reason is due to a five day grace period.
HICO	HolidayInCountryOfOrigin	Reason is due to holiday in country of origin.
ISNF	IssueNotFunded	Reason is due to issue that was not funded.
LFID	AfterCycleCutOff	Issues funded and/or identified after the cycle cut off.
LIQD	Liquidation	Issue is being liquidated.
MASF	InsufficientFundsReceivedFromAgent	Reason is due to insufficient funds received from the agent.
MSTK	MaturityForStockPaymentOption	Reason is due to maturity for stock payment option.
NMAT	IssueNotMaturing	Reason is due to issue that is not maturing.
NOTL	NotionalBalance	Notional balance principal will not be paid.
OFAC	FundsHeldAtChaseForOFAC	Reason is due to funds held at Chase for official foreign asset control.
OTHR	Other	Reserved for new user defined reason codes.
PCHK	PaidUponReceiptOfCheck	Reason is due paid upon receipt of check.
PEND	ItemsPending	Reason is due to items pending.
PLEG	PledgedPosition	Reason is due to pledged position.
PUVF	SpecificIssuesSuppressed	Specific issues have been suppressed.
RCNF	RecapitalisationNotFunded	Reason is due to recapitalisation and issue not funded.
RESC	CallRescinded	Reason is due to a call rescinded.
RPLG	ReleasedPledgePosition	Reason is due to released pledge position.
RRFX	PendingConversionOfFunds	Reason is due to pending conversion of funds.
RRNF	RedemptionOfRatesNotYetFunded	Reason is that due to redemption of rates, issue was not funded.
SAGA	GracePeriod	Company is taking a 30 day grace period.
SANF	SaleOfAssetsNotYetFunded	Reason is that due to sale of asset, issue was not funded.
SDPC	SameDayPositionCapture	Reason is due to same day position capture.

Code	Name	Definition
SPNF	SpecialDistributionNotYetFunded	Reason is due to special distribution and the issue was not funded.

Used in element(s)

"UnallocatedReasonCode" on page 21, "UnallocatedReasonCode" on page 26

Restricts

"DTCUnallocatedAdjustmentReasonV2Code" on page 51

4.11 DTCUnallocatedAdjustmentReasonV2Code

Definition: DTC Unallocated reason codes for payment adjustments.

Type: CodeSet

Code	Name	Definition
ANFI	FundsNotReceivedByAgent	Funds were not received by the agent.
ANNG	BadAnnouncement	Incorrect announcement.
APRR	ApproximateRate	Rate is not final. Rate is approximate.
BDPD	BadPayableDate	Incorrect payable date.
CDIN	CanadianDepositoryInventory	Reason is due to a Canadian depository inventory.
CHBD	ChurchBondNotFunded	Reason is due to a church bond not funded.
CHIP	CHIPSPayment	Reason is due to a CHIPS Payment.
COND	ConditionalPayment	Payment is conditional and conditions have not been met yet.
CSHR	CashRateUnknown	Cash rate for allocation is unknown.
CSHU	CashRateZero	Cash rate for allocation is zero.
DFLT	Default	Issue is in default.
ERLY	CDAcceleratedMaturity	Reason is due to a CD accelerated maturity.
FDIC	FederalDepositInsuranceCorporation	Reason is due to a failed bank; Federal Deposit Insurance Corporation assumed.
FVDG	FiveDayGracePeriod	Reason is due to a five day grace period.
HICO	HolidayInCountryOfOrigin	Reason is due to holiday in country of origin.
ISNF	IssueNotFunded	Reason is due to issue that was not funded.
LFID	AfterCycleCutOff	Issues funded and/or identified after the cycle cut off.
LIQD	Liquidation	Issue is being liquidated.
MASF	InsufficientFundsReceivedFromAgent	Reason is due to insufficient funds received from the agent.

Code	Name	Definition
MSTK	MaturityForStockPaymentOption	Reason is due to maturity for stock payment option.
NMAT	IssueNotMaturing	Reason is due to issue that is not maturing.
NOTL	NotionalBalance	Notional balance principal will not be paid.
OFAC	FundsHeldAtChaseForOFAC	Reason is due to funds held at Chase for official foreign asset control.
OTHR	Other	Reserved for new user defined reason codes.
PCHK	PaidUponReceiptOfCheck	Reason is due paid upon receipt of check.
PEND	ItemIsPending	Reason is due to items pending.
PLEG	PledgedPosition	Reason is due to pledged position.
PUVF	SpecificIssuesSuppressed	Specific issues have been suppressed.
RCNF	RecapitalisationNotFunded	Reason is due to recapitalisation and issue not funded.
RESC	CallRescinded	Reason is due to a call rescinded.
RPLG	ReleasedPledgePosition	Reason is due to released pledge position.
RRFX	PendingConversionOfFunds	Reason is due to pending conversion of funds.
RRNF	RedemptionOfRatesNotYetFunded	Reason is that due to redemption of rates, issue was not funded.
SAGA	GracePeriod	Company is taking a 30 day grace period.
SANF	SaleOfAssetsNotYetFunded	Reason is that due to sale of asset, issue was not funded.
SDPC	SameDayPositionCapture	Reason is due to same day position capture.
SPNF	SpecialDistributionNotYetFunded	Reason is due to special distribution and the issue was not funded.

Is restricted by

"DTCUnallocatedAdjustmentReason4Code" on page 49

4.12 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

"DateCode" on page 28

Restricts

"DateTypeCode" on page 53

4.13 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

"DateType8Code" on page 52

4.14 EventGroup2Code

Definition: Specifies DTC (The Depository Trust Company) processing domain for the event.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Distribution domain events.
REDM	Redemption	Redemption domain events.
REOR	Reorganization	Reorganization domain events.

Used in element(s)

["EventGroup" on page 7](#)

Restricts

["EventGroupCode" on page 54](#)

4.15 EventGroupCode

Definition: Specifies DTC (The Depository Trust Company) processing domain for the event.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Distribution domain events.
GENL	General	General domain events.
REDM	Redemption	Redemption domain events.
REOR	Reorganization	Reorganization domain events.

Is restricted by

["EventGroup2Code" on page 54](#)

4.16 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

["OptionNumber" on page 20](#), ["OptionNumber" on page 25](#)

4.17 ExtendedEventType6Code

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined corporate action event type.

Type: CodeSet

Code	Name	Definition
CDRD	CertificateOfDepositEarlyRedemption	Feature of a security that allows an issuer to make a payment to the security holder. This event will be used for securities subject to redemptions other than those categorised as full and partial calls (for example early Certificate of Deposit redemptions).
FPAY	FinalPaydown	Final distribution of principal due on a security, typically Collateralised Mortgage Obligations.
REDW	RedemptionOfWarrant	Event is a redemption of warrant.
TMTN	Termination	Security, usually a form of a derivative, for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy. Distinguishes from Mandatory Exchange, Exchange Offer event types mapped to the same ISO event type code.

Used in element(s)

"EventType" on page 7

Restricts

"ExtendedEventTypeV2Code" on page 55

4.18 ExtendedEventTypeV2Code

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined corporate action event type.

Type: CodeSet

Code	Name	Definition
BRIS	BonusRightsIssue	Security holders are issued additional securities, free of payment, from the issuer in proportion to their holdings. A bonus issue is typically represented by shares or warrants. Nominal value does not change. The security holder may be offered choice of entitlement. Distinguishes from Rights Subscription, Sale Of Rights event types mapped to the same ISO event type code.
CDRD	CertificateOfDepositEarlyRedemption	Feature of a security that allows an issuer to make a payment to the security holder. This event will be used for securities subject to redemptions other than those categorised as full and partial calls

Code	Name	Definition
		(for example early Certificate of Deposit redemptions).
FLPR	FullPrerefunding	Exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. Distinguishes from "partial defeasance", "partial prerefunding" event types mapped to the same ISO event type code.
FPAY	FinalPaydown	Final distribution of principal due on a security, typically Collateralised Mortgage Obligations.
MEET	Meeting	Meeting of a company's share or bond holders to address resolutions put forth by the issuer. Note: this is information only event. Pending deprecation and migration to ISO Proxy Message suite.
PDEF	PartialDefeasance	Issuer sets aside funds in escrow to guarantee payment for the portion of the security on maturity date. Defeased and Non-defeased cusips are issued respectively for the defeased and non-defeased portions.
PPRE	PartialPrerefunding	Issuer sets aside funds in escrow to guarantee payment for the portion of the security prior to maturity date. Refunded and Non-Refunded cusips are issued respectively for the refunded and non-refunded portions.
PPUT	PartialMandatoryPut	The mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities.
REDW	RedemptionOfWarrant	Event is a redemption of warrant.
RPLA	RightsPlanAdoption	General information provided by the issuer that should not result in material changes to the security.
TMTN	Termination	Security, usually a form of a derivative, for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy. Distinguishes from Mandatory Exchange, Exchange Offer event types mapped to the same ISO event type code.

Is restricted by

"ExtendedEventType6Code" on page 54

4.19 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

"Code" on page 30

Restricts

"ExternalFinancialInstrumentIdentificationTypeCode" on page 57

4.20 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 56

4.21 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

"ISIN" on page 32

4.22 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"Date" on page 27, "LotteryDate" on page 10, "RecordDate" on page 9

4.23 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"DateTime" on page 27

4.24 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Used in element(s)

["LotteryType" on page 10](#)

Restricts

["LotteryTypeCode" on page 59](#)

4.25 LotteryTypeCode

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Is restricted by

["LotteryType1Code" on page 58](#)

4.26 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

["Suffix" on page 31](#)

4.27 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["PlaceAndName" on page 7](#), ["PlaceAndName" on page 9](#), ["PlaceAndName" on page 17](#), ["PlaceAndName" on page 28](#)

4.28 Max8Text

Definition: Specifies a character string with a maximum length of 8 characters.

Type: Text

Format

maxLength	8
minLength	1

Used in element(s)

["ContraParticipantNumber" on page 21](#), ["ContraParticipantNumber" on page 26](#)

4.29 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Is restricted by

["ActiveCurrencyCode" on page 35](#)

4.30 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 35

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Rules

R1 CurrencyAmount ✓

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity*: Fatal
- *Error Code*: D00007
- *Error Text*: Invalid currency code or too many decimal digits

Used in element(s)

"TransactionAmount" on page 21

4.31 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	14
totalDigits	14

Used in element(s)

"Unit" on page 29

4.32 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

Used in element(s)

"Proprietary" on page 30

4.33 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Used in element(s)

"AmortisedValue" on page 29, "FaceAmount" on page 29

4.34 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	[0-9a-zA-Z/\-?:\(\)\.\\n\\r,\"' +]{1,140}

Used in element(s)

"Description" on page 33

4.35 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	[0-9a-zA-Z/\-?:\(\)\.\\n\\r,\"' +]{1,31}

Used in element(s)

"Identification" on page 31

4.36 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	35
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.,'\+]{1,35}

Used in element(s)

"SafekeepingAccount" on page 8

4.37 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

"ShortLongPosition" on page 34

Restricts

"ShortLongCode" on page 63

4.38 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

"ShortLong1Code" on page 63

4.39 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"MoneyMarketInstrumentFlag" on page 29

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